



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Julien Piot, Urban G. Schnell and Philippe J. Nussbaum
APPLICATION NO.: 09/895,749
FILING DATE: June 29, 2001
TITLE: Optical Detection System, Device, and Method Utilizing Optical Matching
EXAMINER: Not yet known
GROUP ART UNIT: 2673
ATTY. DKT. NO.: 19414-06164

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CERTIFICATE OF MAILING

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Dated: 3/13/2003

By: Rajiv P. Patel
Rajiv P. Patel, Reg. No.: 39,327

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No.[APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

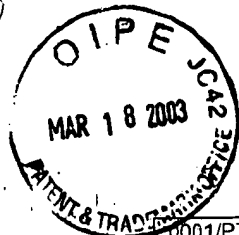
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
JULIEN PIOT, URBAN G. SCHNELL AND
PHILIPPE J. NUSSBAUM

Dated: 3/13/2003

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PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence during pendency of
filed application)

Application Number	09/895,749
Filing Date	June 29, 2001
First Named Inventor	Julien Piot
Group Art Unit Number	2673
Examiner Name	Not yet known
Total Number of Pages in This Submission	7+refs.
Attorney Docket Number	19414-06164

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form (in duplicate)
 - ☐ Check Enclosed
- ☒ Return Receipt Postcard
- ☐ Response to Notice to File Missing Parts
- ☐ Assignment & Recordation Cover Sheet
- ☐ Declaration
- ☐ Power of Attorney
- ☐ Application Data Sheet
- ☒ Supplemental Information Disclosure Statement & PTO/SB/08A
 - ☒ Copies of IDS Cited References
- ☐ Request for Corrected Filing Receipt
- ☐ Request for Correction of Recorded Assignment
- ☐ Amendment/Response: [] Page(s)
 - ☐ After Final
- ☐ Status Request
- ☐ Revocation and Substitute Power of Attorney

- ☐ Issue Fee Transmittal
- ☐ Letter to Chief Draftsperson
- ☐ Formal Drawing(s):
 - [] Sheet(s) of Figure(s) []
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
- ☐ Certified Copy of Priority Document(s)
- ☐ After Allowance Communication to Group

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REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

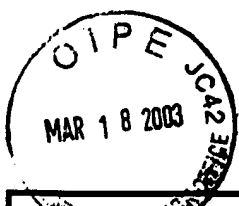
Signature:	
Attorney/Reg. No.:	Rajiv P. Patel / Reg. No.: 39,327
Dated:	3/13/2003

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Signature:	
Typed or Printed Name:	Rajiv P. Patel
Dated:	3/13/2003
Express Mail Mailing Number (optional):	

19414/06164/DOCS/1334271.1



FEE TRANSMITTAL for FY 2003 Patent fees are subject to annual revision.		Complete if Known	
		Application Number	09/895,749
		Filing Date	June 29, 2001
		First Named Inventor	Julien Piot
		Examiner Name	Not yet known
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	2673
TOTAL AMOUNT OF PAYMENT (\$) 0		Attorney Docket No.	19414-06164

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METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																															
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: 19-2555 Deposit Account Name: Fenwick & West LLP The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any additional fee(s) required under 37 CFR 1.16 - 1.21 or credit any overpayments to the deposit account. [†] <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the deposit account. A Duplicate Copy of this authorization is attached		3. ADDITIONAL FEES																																																																																																																															
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unavoidable</td><td></td></tr><tr><td>1453 1,300</td><td>2453 650</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>1501 1,300</td><td>2501 650</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>1502 470</td><td>2502 235</td><td>Design issue fee</td><td></td></tr><tr><td>1503 630</td><td>2503 315</td><td>Plant issue fee</td><td></td></tr><tr><td>1460 130</td><td>1460 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>1807 50</td><td>1807 50</td><td>Processing fee under 37 CFR 1.17(g)</td><td></td></tr><tr><td>1806 180</td><td>1806 180</td><td>Submission of Information Disclosure Statement</td><td></td></tr><tr><td>8021 40</td><td>8021 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809 750</td><td>2809 375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>1810 750</td><td>2810 375</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr><tr><td>1801 750</td><td>2801 375</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>1802 900</td><td>1802 900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="2">Other fee (specify) _____</td><td colspan="2"></td></tr><tr><td colspan="2">SUBTOTAL (3)</td><td colspan="2">(\$) 0</td></tr></tbody></table>		Large Entity	Small Entity	Fee Description	Fee Paid	Code (\$)	Code (\$)			1051 130	2051 65	Surcharge - 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[†]or number previously paid, if greater; For Reissues, see above
^{*}Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Rajiv P. Patel	Registration No. (Attorney/Agent)	39,327
Signature		Telephone: (650) 335-7607	
		Date	3/13/2003

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